

# **HEALTH QUARTERLY STATEMENT**

AS OF MARCH 31, 2003
OF THE CONDITION AND AFFAIRS OF THE

John Deere Health Plan, Inc.

		<u> </u>			<u> </u>	
·	0219 ent Period) (	0219 Prior Period)	NAIC Company Code	95378	Employer's ID Number	36-3379945
Organized under the Laws of	,		State	e of Domicile o	or Port of Entry	Illinois
Country of Domicile				tes of America	-	
·	Life Assident 9	Lloolth [ ]				
Licensed as business type:			Property/Casualty	-	al Service Corporation [ ]	V1
	Vision Service C		Other [ ] rvice or Indemnity [ ]		th Maintenance Organization [ MO, Federally Qualified? Yes [	•
	riospitai, iviedica	i & Deniai Se	rvice of indefinity [ ]	15 1 110	no, rederally Qualified: res [	1 MO[X]
Incorporated	08/05/198		Commenced Bus	iness _	07/01/198	
Statutory Home Office		1300 River (Street and N		-,	Moline, IL 61265 (City or Town, State and Zip	
Main Administrative Office				300 River Driv		
	4-line II 01005			Street and Number	·)	
(City or	Moline, IL 61265 Town, State and Zip Cod	de)		(	309-765-1200 (Area Code) (Telephone Number)	
Mail Address	1300 R	iver Drive	,		Moline, IL 61265	
Primary Location of Books ar		mber or P.O. Box)		1200	(City or Town, State and Zip Code River Drive	)
Filliary Location of Books at	id necords				and Number)	
	Noline, IL 61265 Town, State and Zip Cod	de)		(	309-765-1221 (Area Code) (Telephone Number)	
Internet Website Address			JohnDe	ereHealth.con	n	
Statutory Statement Contact		Joan G. Mi			309-765-1221	
MincerJo	anG@JohnDeere	(Name)			(Area Code) (Telephone Number) (E 309-748-1146	xtension)
	(E-mail Address)				(FAX Number)	
Policyowner Relations Conta	ct		1	300 River Driv	re	
N	Noline, IL 61265	(Street an	d Number)		309-765-1200	
(City or	Town, State and Zip Coo	de)		(Area (	Code) (Telephone Number) (Extension	)
			OFFICERS			
President		owell Bartsh I	M.D.	Secretai	ryVictoria Jane	Graves
Treasurer	James	Alan Cousin				
Charles Bhillin	Daraana		VICE PRESIDEN		Dwine Chan C	toffono M.D.
Charles Phillip	Parsons		David Wayne Anders	:011	Bruce Chase S	teriens M.D.
James Alan C		DIR	ECTORS OR TRU		Diahand Laurell	Davidah M.D.
James Alan C James Edward			Charles Phillip Parso William Kenneth Appel		Richard Lowell John Willard G	
Cathie Sue Wh			Bruce Chase Steffens Charlotte Hershberger Koe		Victoria Ka	uzlarich
Jon Alan Cha	ıpman		Snariotte Hersnberger Koe	nig ivi.D.		
		`				
State ofR	Illinois		S			
County ofR	ock Island					
			depose and say that they	are the descri	ibed officers of said reporting	entity, and that on the
reporting period stated above	e, all of the herein	described as	sets were the absolute pr	operty of the s	said reporting entity, free and	clear from any liens or
					edules and explanations there affairs of the said reporting er	
					een completed in accordance	
					t: (1) state law may differ; or, rding to the best of their inform	
belief, respectively.					•	
Richard Lowell Ba	rtch M.D.		Victoria Jane Graves		James Alan	Cousins
Presiden			Secretary		Treasu	
Subscribed and sworn to be						
7day of	May, 2003					
Mary Ann Vickers Executive Administrative Ass 09/27/03	istant					

# **ASSETS**

			Current Statement Date	<u> </u>	4
		1	2	3	4
		·	_	-	December 31
				Net Admitted Assets	Prior Year Net
		Assets	Nonadmitted Assets	(Cols. 1 - 2)	Admitted Assets
	Bonds	134,610,719		134,610,719	126, 163,076
2.	Stocks:				
	2.1 Preferred stocks				
	2.2 Common stocks	2,498,701		2,498,701	2,648,019
3.	Mortgage loans on real estate:				
	3.1 First liens			0	0
	3.2 Other than first liens			0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less				
	\$ encumbrances)			0	0
	·				
	4.2 Properties held for the production of income				
	(less \$ encumbrances)			0	0
	4.3 Properties held for sale (less				
	\$ encumbrances)			0	0
5.	Cash (\$				
	investments (\$19,545,511 )	19 545 511		19 545 511	36 963 870
		10,040,011			
	Other invested assets			0	
	Receivable for securities				
	Aggregate write-ins for invested assets			0	
10.	Subtotals, cash and invested assets (Lines 1 to 9)	156,765,029			
11.	Investment income due and accrued	1,440,560		1,440,560	1,486,928
12.	Premiums and considerations:				
	12.1 Uncollected premiums and agents' balances in the course of				
	collection	13,967,436	2,125,156	11,842,280	6,281,673
	12.2 Deferred premiums, agents' balances and installments booked but	, ,		, ,	, ,
	deferred and not yet due (including \$earned				
	but unbilled premiums)				
	12.3 Accrued retrospective premiums.			D	
13.	Reinsurance:				
	13.1 Amounts recoverable from reinsurers				0
	13.2 Funds held by or deposited with reinsured companies			0	
	13.3 Other amounts receivable under reinsurance contracts			0	
14.	Amounts receivable relating to uninsured plans	3,745,406		3,745,406	5,559,432
	Current federal and foreign income tax recoverable and interest thereon		100,575	0	0
	Net deferred tax asset			0	
	Guaranty funds receivable or on deposit				-
	Electronic data processing equipment and software.				0
18.	Furniture and equipment, including health care delivery assets				0
	(\$)				0
	Net adjustment in assets and liabilities due to foreign exchange rates				0
	Receivables from parent, subsidiaries and affiliates				0
21.	Health care (\$848,370 ) and other amounts receivable	848,370	847,039	1,331	1,331
22.	Other assets nonadmitted			0	0
23.	Aggregate write-ins for other than invested assets	5,247,144	268,100	4,979,044	5,715,044
	Total assets excluding Separate Accounts, Segregated Accounts and				
	Protected Cell Accounts (Lines 10 to 23)	183.634.992	4.861.343	178.773.650	184.819.373
25	From Separate Accounts, Segregated Accounts and Protected				
20.				0	
00	Cell Accounts.		4 064 242		104 040 272
26.	Total (Lines 24 and 25)	183,634,992	4,861,343	178,773,650	184,819,373
	DETAILS OF WRITE-INS				
0902.					
0998.	Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0
	Totals (Lines 0901 thru 0903 plus 0998)(Line 9 above)	0			0
2301.	Other Accounts Receivable	5,019,743	40,699	4,979,044	5,715,044
	State Income Tax Receivable				· · · · ·
	Ottato Titolino Tax Noorvabio	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·		
	Summary of remaining write-ins for Line 23 from overflow page				
∠აყყ.	Totals (Lines 2301 thru 2303 plus 2398)(Line 23 above)	5,247,144	268,100	4,979,044	5,715,044

LIABILITIES, CAPITAL AND SURPLUS

	,			Prior Year	
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less \$		6,140,340		
2.	Accrued medical incentive pool and bonus payments				
3.	Unpaid claims adjustment expenses				
4.	Aggregate health policy reserves				
5.	Aggregate life policy reserves				
6.	Property/casualty unearned premium reserve				
7.	Aggregate health claim reserves				0
8.	Premiums received in advance				
9.	General expenses due or accrued				
10.1	Current federal and foreign income tax payable and interest thereon (including				
	\$ on realized capital gains (losses))			0	571,421
10.2	Net deferred tax liability				0
11.	Ceded reinsurance premiums payable			0	
12.	Amounts withheld or retained for the account of others				
13.	Remittances and items not allocated				
14.	Borrowed money (including \$ current) and				
	interest thereon \$ (including				
	\$ current)			0	0
15.	Amounts due to parent, subsidiaries and affiliates	780 , 208		780,208	273,522
16.	Payable for securities			0	0
17.	Funds held under reinsurance treaties with (\$				
	authorized reinsurers and \$unauthorized				
	reinsurers)			0	0
18.	Reinsurance in unauthorized companies			0	0
19.	Net adjustments in assets and liabilities due to foreign exchange rates			0	0
20.	Liability for amounts held under uninsured accident and health plans			0	0
21.	Aggregate write-ins for other liabilities (including \$				
	current)	159,414	0	159,414	126,433
22.	Total liabilities (Lines 1 to 21)	99,499,573	6,140,340	105,639,913	105,269,491
23.	Common capital stock	XXX	XXX	611,000	611,000
24.	Preferred capital stock	XXX	xxx		0
25.	Gross paid in and contributed surplus	XXX	XXX	22,440,000	22,440,000
26.	Surplus notes	XXX	XXX		0
27.	Aggregate write-ins for other than special surplus funds	XXX	XXX	1,500,000	1,500,000
28.	Unassigned funds (surplus)	xxx	XXX	48 , 582 , 737	54,998,883
29.	Less treasury stock, at cost:				
	29.1shares common (value included in Line 23)				
	\$)	XXX	xxx		0
	29.2shares preferred (value included in Line 24)				
	\$)	xxx	xxx		0
30.	Total capital and surplus (Lines 23 to 28 minus Line 29)	xxx	xxx	73 , 133 , 737	79,549,883
31.	Total liabilities, capital and surplus (Lines 22 and 30)	XXX	XXX	178,773,650	184,819,373
	DETAILS OF WRITE-INS				
2101.	Payables From Cost Contracts	156,205		156,205	123,224
2102.	Payable to CMS	3,209		3,209	3,209
2103.	State Income Taxes Payable				
2198.	Summary of remaining write-ins for Line 21 from overflow page	0	0	0	0
2199.	Totals (Lines 2101 thru 2103 plus 2198) (Line 21 above)	159,414	0	159,414	126,433
2701.	Reserve and Restricted Funds	xxx	xxx	1,500,000	1,500,000
2702.		xxx	xxx		
2703.					
2798.	Summary of remaining write-ins for Line 27 from overflow page	xxx	xxx	0	0
2799.	Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	XXX	XXX	1,500,000	1,500,000

# STATEMENT OF REVENUE AND EXPENSES

1   2   3   7.00		STATEMENT OF REVENUE		Current Year to Date			
1.   Morebox Mortes			1	2	_		
2. Nel premienri income producting							
S. Change in unknamed persistant reserves and reterve for rate occults	1.	Member Months	XXX	083,831	∠,846,461		
S. Change in unknamed persistant reserves and reterve for rate occults	2	Net premium income (including		153 316 884	586 120 667		
4. Per-for-service (rest of 3 medical expenses)							
5. Risk revenue							
. A gargegate wither-ins for other health care related revenues.  7. Aggregate wither-ins for other non-health revenues.  8. OX							
7. Aggregate with -ins for other con-health revenues   XXX							
Total revenues (Lines 2 to 7)							
Hospital and Medicat							
9. Hospital/medical benefits         7,004,143         110,376,018         226,173,173           11. Other professional services         308,883         3,275,008         13,927,421           11. Outside referrials         93,954         2,855,169         13,927,421           12. Emergency room and out-of-langs         93,954         2,855,169         65,241,757         65,247,751           14. Appropriate write-insign of other hospital and medical         0<	0.	Total revenues (Lines 2 to 7)		100,012,041			
10. Other professional services		·					
1.1.   Culside referrals	9.	•					
12   Emergency room and out of area   18,3,864   2,855,469   18,318,107   18, Person-print drugs   17,707,779   66, 241,777   66, 241,777   66, 241,777   66, 241,777   66, 241,777   66, 241,777   66, 241,777   66, 241,777   66, 241,777   66, 241,777   67, 241,777	10.	Other professional services	306,893	3,275,906	13,927,421		
13. Prescription drugs	11.	Outside referrals			0		
14. Aggregate write-ins for other hospital and medical	12.	Emergency room and out-of-area	93,954	2,855,459	16,318,107		
15. Incertive pool and withhold adjustments	13.	Prescription drugs		17,376,779	66 , 294 , 757		
15.   Incentive pool and withhold adjustments   (889.90)   (18, 302.43f)	14.	Aggregate write-ins for other hospital and medical.	0	0	0		
Less:	15.	Incentive pool and withhold adjustments		(869,590)	(18,332,431)		
17. Net reinsurance recoveries	16.						
17. Net reinsurance recoveries							
18. Total hospital and medical (Lines 16 minus 17)	47				244 070		
19. Non-health claims   3,062,706   13,827,350   13,827,350   13,827,350   12,827,350   12,827,350   13,827,350   13,827,350   12,827,350   12,827,350   12,827,350   12,827,350   12,827,350   13,827,350   13,827,350   12,827							
20. Cialms adjustment expenses   3,062,706   13,827,366   12,827,366							
21.   General administrative expenses   17,491,352   55,296,359     22.   Increase in reserves for life and accident and health contracts including	19.						
22. Increase in reserves for life and accident and health contracts including	20.						
\$ increase in reserves for life only) 23. Total underwriting deductions (Lines 18 through 22) 4. Net underwriting gain or (loss) (Lines 8 minus 23) 25. Not investment income earned 4. 1, 693, 214 26. Net realized capital gains or (losses) 27. Not investment gains or (losses) 28. Not gain or (loss) from agents' or premium balances charged off ([amount recovered \$	21.	General administrative expenses		17,491,352	65,236,359		
23. Total underwriting deductions (Lines 18 through 22)	22.	Increase in reserves for life and accident and health contracts including					
24. Net underwriting gain or (loss) (Lines 8 minus 23)		· ·					
25. Net investment income earned       1,693,214       8,805,294         26. Not realized capital gains or (losses)       81,551       141,187         27. Net investment gains or (losses) (Lines 25 plus 26)       0       1,774,765       8,946,481         28. Not gain or (loss) from agents' or premium balances charged off [(amount recovered \$	23.						
26. Net realized capital gains or (losses)       .81,551       .141,187         27. Net investment gains or (losses) (Lines 25 plus 26)       .0       .1,774,765       8.946,481         28. Net gain or (loss) from agents' or premium balances charged off {s}       .)       .0       .0       .0       .0         29. Aggregate write-ins for other income or expenses       .0       .(2)       .(12,344)         30. Net income or (loss) before federal income taxes (Lines 24 plus 27 plus 28 plus 29)       .XXX       .1,016,973       .10,134,746         31. Federal and foreign income taxes incurred       .XXX       .324,231       .3639,148         32. Net income (loss) (Lines 30 minus 31)       .XXX       .64,945       .64,95,598         DETAILS OF WRITE-INS         0601. Contractual Recover ies       .XXX       .(4,043)       .0         0602. Audi I. Recover ies       .XXX       .(4,043)       .0         0603	24.	Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(755,789)	1,200,609		
27. Net investment gains or (losses) (Lines 25 plus 26)       0       1,774,765       8,946,481         28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ \$ ) (amount charged off \$ ) )       0       0       (2)       (12,344)         30. Net income or (loss) before federal income taxes (Lines 24 plus 27 plus 28 plus 29)       XXX       1,018,973       10,134,746         31. Federal and foreign income taxes incurred       XXX       324,231       3,639,148         32. Net income (loss) (Lines 30 minus 31)       XXX       694,742       6,495,598         DETAILS OF WRITE-INS         0601. Contractual Recover ies       XXX       (4,043)       0         0608. Summary of remaining write-ins for Line 6 from overflow page       XXX       0       0         0608. Summary of remaining write-ins for Line 6 from overflow page       XXX       (4,043)       277,576         0701.       XXX       XXX       0       0         0709. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)       XXX       0       0         0701.       XXX       XXX       0       0         0702.       XXX       0       0       0         0798. Summary of remaining write-ins for Line 7 from overflow page       XXX       0       0	25.	Net investment income earned		1,693,214	8,805,294		
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$							
\$ , (amount charged off \$ )]	27.	Net investment gains or (losses) (Lines 25 plus 26)	0	1,774,765	8,946,481		
29. Aggregate write-ins for other income or expenses       0       (2)       (12, 344)         30. Net income or (loss) before federal income taxes (Lines 24 plus 27 plus 28 plus 29)       XXX       1,018,973       10,134,746         31. Federal and foreign income taxes incurred       XXX       324,231       3,639,148         32. Net income (loss) (Lines 30 minus 31)       XXX       694,742       6,495,598         DETAILS OF WRITE-INS         0601. Contractual Recover ies       XXX       (4,043)       0         0602. Audi t Recover ies       XXX       277,576         0603.       XXX       0       0         Summary of remaining write-ins for Line 6 from overflow page       XXX       0       0         0701.       XXX       0       0         0702.       XXX       0       0         0703.       XXX       0       0         0709. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)       XXX       0       0         0701.       XXX       0       0       0         0702.       XXX       0       0       0         0703.       XXX       0       0       0         0709.       Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX </td <td>28.</td> <td>Net gain or (loss) from agents' or premium balances charged off [(amount recovered</td> <td></td> <td></td> <td></td>	28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered					
30. Net income or (loss) before federal income taxes (Lines 24 plus 27 plus 28 plus 29)       XXX       1,018,973       10,134,746         31. Federal and foreign income taxes incurred       XXX       324,231       3,639,148         32. Net income (loss) (Lines 30 minus 31)       XXX       694,742       6,495,598         DETAILS OF WRITE-INS         0601. Contractual Recover ies.       XXX       (4,043)       0         0602. Audi t Recover ies.       XXX       277,576         0603.       XXX       0       0         0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)       XXX       (4,043)       277,576         0701.       XXX       XXX       (4,043)       277,576         0702.       XXX       XXX       (4,043)       277,576         0703.       XXX       XXX       0       0         0799. Summary of remaining write-ins for Line 7 from overflow page       XXX       0       0         0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX       0       0         1401.       XXX       0       0       0         1402.       XXX       0       0       0         1403.       XXX       0       0       0		\$) (amount charged off \$			0		
30. Net income or (loss) before federal income taxes (Lines 24 plus 27 plus 28 plus 29)       XXX       1,018,973       10,134,746         31. Federal and foreign income taxes incurred       XXX       324,231       3,639,148         32. Net income (loss) (Lines 30 minus 31)       XXX       694,742       6,495,598         DETAILS OF WRITE-INS         0601. Contractual Recover ies.       XXX       (4,043)       0         0602. Audi t Recover ies.       XXX       277,576         0603.       XXX       0       0         0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)       XXX       (4,043)       277,576         0701.       XXX       XXX       (4,043)       277,576         0702.       XXX       XXX       (4,043)       277,576         0703.       XXX       XXX       0       0         0799. Summary of remaining write-ins for Line 7 from overflow page       XXX       0       0         0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX       0       0         1401.       XXX       0       0       0         1402.       XXX       0       0       0         1403.       XXX       0       0       0	29.	Aggregate write-ins for other income or expenses	0	(2)	(12,344)		
32. Net income (loss) (Lines 30 minus 31)         XXX         694,742         6,495,598           DETAILS OF WRITE-INS           0601.         Contractual Recover ies.         XXX         (4,043)         0           0602.         Audit Recover ies.         XXX         277,576           0603.         XXX         0         0         0           0698.         Summary of remaining write-ins for Line 6 from overflow page         XXX         0         0         0           0701.         XXX         XXX         4.043         277,576         0 <td>30.</td> <td></td> <td></td> <td></td> <td></td>	30.						
DETAILS OF WRITE-INS	31.	Federal and foreign income taxes incurred	XXX	324,231	3,639,148		
0601. Contractual Recover ies.       XXX       (4,043)       0         0602. Audi t Recover ies.       XXX       277,576         0603.       XXX       0       0         0698. Summary of remaining write-ins for Line 6 from overflow page       XXX       0       0         0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)       XXX       (4,043)       277,576         0701.       XXX       XXX       0         0702.       XXX       XXX       0         0798. Summary of remaining write-ins for Line 7 from overflow page       XXX       0       0         0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX       0       0         1401. 1402. 1403. 14	32.	Net income (loss) (Lines 30 minus 31)	XXX	694,742	6,495,598		
0602. Aud it Recover ies.       XXX       277,576         0603.       XXX       277,576         0608. Summary of remaining write-ins for Line 6 from overflow page.       XXX       0       0         0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)       XXX       (4,043)       277,576         0701.       XXX       XXX       0         0702.       XXX       XXX       0         0798. Summary of remaining write-ins for Line 7 from overflow page.       XXX       0       0         0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX       0       0         1401.       XXX       0       0       0         1402.       XXX       0       0       0         1403.       XXX       0       0       0         1408. Summary of remaining write-ins for Line 14 from overflow page.       0       0       0       0         1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)       0       0       0       0       0         2901. Massachuset 1s Uncompensated Care Surcharge.       (334)       (2)       (12,010)       (2)       (12,010)         2903.       XXX       XXX       XXX       XXX       XXX       XXX       XXX		DETAILS OF WRITE-INS					
0603.       XXX         0698.       Summary of remaining write-ins for Line 6 from overflow page       XXX       0       0       0         0699.       Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)       XXX       (4,043)       277,576         0701.       XXX       XXX       0         0702.       XXX       XXX       0         0703.       XXX       0       0         0799.       Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX       0       0         1401.       XXX       0       0       0         1402.       XXX       0       0       0         1403.       XXX       0       0       0         1499.       Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)       0       0       0       0         2901.       Massachuset ts Uncompensated Care Surcharge.       (334)       (32)       (34)       (32)       (12,010)         2903.       Summary of remaining write-ins for Line 29 from overflow page       0       0       0       0         2998.       Summary of remaining write-ins for Line 29 from overflow page       0       0       0       0	0601.	Contractual Recoveries	XXX	(4,043)	0		
0698. Summary of remaining write-ins for Line 6 from overflow page         XXX         0         0         0           0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)         XXX         (4,043)         277,576           0701.         XXX         XXX         0           0702.         XXX         XXX         0           0703.         XXX         0         0           0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)         XXX         0         0           1401.         402.         403.         404.	0602.	Audit Recoveries	XXX		277 , 576		
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)         XXX         (4,043)         277,576           0701.         XXX         XXX            0702.         XXX             0703.         XXX             0798. Summary of remaining write-ins for Line 7 from overflow page         XXX             0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)         XXX         0             1401.	0603.		XXX				
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)         XXX         (4,043)         277,576           0701.         XXX         XXX            0702.         XXX             0703.         XXX             0798. Summary of remaining write-ins for Line 7 from overflow page         XXX             0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)         XXX         0             1401.	0698.	Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0		
0701.       XXX         0702.       XXX         0703.       XXX         0798.       Summary of remaining write-ins for Line 7 from overflow page       XXX         0799.       Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX       0         1401.       XXX       0       0         1402.       XXX       0       0         1403.       XXX       0       0         1499.       Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)       0       0       0         2901.       Massachusetts Uncompensated Care Surcharge       (334/2)       (334/2)         2902.       Other Income (Loss)       (2)       (12,010/2)         2903.       2998.       Summary of remaining write-ins for Line 29 from overflow page       0       0       0							
0702.       XXX         0703.       XXX         0798. Summary of remaining write-ins for Line 7 from overflow page       XXX         0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX         1401.       XXX         1402.       XXX         1403.       XXX         1498. Summary of remaining write-ins for Line 14 from overflow page       0       0         1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)       0       0         2901. Massachusetts Uncompensated Care Surcharge.       (334)         2902. Other Income (Loss)       (2)       (12,010)         2903.       2998. Summary of remaining write-ins for Line 29 from overflow page       0       0       0				, , ,			
0703.       XXX         0798. Summary of remaining write-ins for Line 7 from overflow page       XXX       0       0         0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)       XXX       0       0         1401.            1402.            1403.            1498. Summary of remaining write-ins for Line 14 from overflow page       0       0       0         1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)       0       0       0         2901. Massachusetts Uncompensated Care Surcharge.            2902. Other Income (Loss)             2903.              2998. Summary of remaining write-ins for Line 29 from overflow page							
0798. Summary of remaining write-ins for Line 7 from overflow page       XXX       0							
0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)         XXX         0         0           1401							
1401.       1402.         1403.       1403.         1498. Summary of remaining write-ins for Line 14 from overflow page       0       0         1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)       0       0       0         2901. Massachusetts Uncompensated Care Surcharge.       (334)         2902. Other Income (Loss)       (2)       (12,010)         2903.       (2903.       0       0         2998. Summary of remaining write-ins for Line 29 from overflow page       0       0       0							
1402.				-	U		
1403.							
1498. Summary of remaining write-ins for Line 14 from overflow page       0       0       0         1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)       0       0       0         2901. Massachusetts Uncompensated Care Surcharge.       (334)         2902. Other Income (Loss)       (2)       (12,010)         2903.         2998. Summary of remaining write-ins for Line 29 from overflow page       0       0							
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)       0       0       0         2901. Massachusetts Uncompensated Care Surcharge.       (334)         2902. Other Income (Loss)       (2)       (12,010)         2903.       (2903.       (2904.)       (2905.)         2998. Summary of remaining write-ins for Line 29 from overflow page       0       0       0					0		
2901. Massachusetts Uncompensated Care Surcharge.       (334)         2902. Other Income (Loss)	1499.			_	0		
2902. Other Income (Loss)	2901.				(334)		
2903. 2998. Summary of remaining write-ins for Line 29 from overflow page 0 0 0 0 0		·			,		
2998. Summary of remaining write-ins for Line 29 from overflow page		, ,		, ,	,		
	2999.	Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above)	0	(2)			

# **CAPITAL AND SURPLUS ACCOUNT**

	CAPITAL AND SURPLUS ACCOUNT	1 Current Year	2 Prior Year
		to Date	To Date
	CAPITAL AND SURPLUS ACCOUNT:		
33.	Capital and surplus prior reporting period	79,549,883	74,293,510
	GAINS AND LOSSES TO CAPITAL & SURPLUS:		
34.	Net income or (loss) from Line 32	694,742	6,495,598
35.	Change in valuation basis of aggregate policy and claim reserves		0
36.	Net unrealized capital gains and losses		
37.	Change in net unrealized foreign exchange capital gain or (loss)	(97,057)	0
38.	Change in net deferred income tax		0
39.	Change in nonadmitted assets	(875,550)	224,329
40.	Change in unauthorized reinsurance	0	0
41.	Change in treasury stock		0
42.	Change in surplus notes	0	0
43.	Cumulative effect of changes in accounting principles		0
44.	Capital Changes:		
	44.1 Paid in		0
	44.2 Transferred from surplus (Stock Dividend)		0
	44.3 Transferred to surplus		0
45.	Surplus adjustments:		
	45.1 Paid in		0
	45.2 Transferred to capital (Stock Dividend)	0	0
	45.3 Transferred from capital		0
46.	Dividends to stockholders	(6,400,000)	0
47.	Aggregate write-ins for gains or (losses) in surplus	261,718	(746,847)
48.	Net change in capital & surplus (Lines 34 to 47)	(6,416,147)	5,256,374
49.	Capital and surplus end of reporting period (Line 33 plus 48)	73,133,737	79,549,883
	DETAILS OF WRITE-INS		
4701.	Change in Non-Admitted assets Affecting Net Income	506,653	(2,092,385)
4702.	Change in Accrual affecting Net Income	(758, 148)	1,858,751
4703.	Change in GAAP vs Statutory Value of Short Term Investments	513,213	(513,213)
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0
4799.	Totals (Lines 4701 thru 4703 plus 4798) (Line 47 above)	261,718	(746,847)

# **CASH FLOW**

	1 Current Year To Date	2 Prior Year Ended December 31
Cash from Operations		
Premiums collected net of reinsurance	134,637,744	590,092,142
Net investment income		
3. Miscellaneous income	(4,045)	265,236
4. Total (Lines 1 to 3)		599,425,576
Benefits and loss related payments	119,325,636	520,256,213
Net transfers to Separate, Segregated Accounts and Protected Cell Accounts		
7. Commissions, expenses paid and aggregate write-ins for deductions	21,923,922	79,642,928
8. Dividends paid to policyholders		
9. Federal and foreign income taxes paid (recovered) \$	895,652	6,937,108
10. Total (Lines 5 through 9)	142,145,210	606,836,249
11. Net cash from operations (Line 4 minus Line 10)		(7,410,673
Cash from Investments		,
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	16,087,776	40,936,830
12.2 Stocks	0	6 , 977 , 899
12.3 Mortgage loans		0
12.4 Real estate	0	0
12.5 Other invested assets	0	0
12.6 Net gains or (losses) on cash and short-term investments	0	0
12.7 Miscellaneous proceeds	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	16,087,776	47,914,729
13. Cost of investments acquired (long-term only):		
13.1 Bonds	24,500,667	30,842,623
13.2 Stocks	0	0
13.3 Mortgage loans	0	0
13.4 Real estate		0
13.5 Other invested assets	0	0
13.6 Miscellaneous applications		0
13.7 Total investments acquired (Lines 13.1 to 13.6)	24,500,667	30,842,623
14. Net increase (or decrease) in policy loans and premium notes		
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(8,412,891)	17,072,106
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes		
16.2 Capital and paid in surplus, less treasury stock		
16.3 Borrowed funds received		0
16.4 Net deposits on deposit-type contracts and other insurance liabilities		
16.5 Dividends to stockholders		0
16.6 Other cash provided (applied)		(613, 142
17. Net cash from financing and miscellaneous sources (Line 16.1 to Line 16.4 minus Line 16.5 plus Line 16.6)	(3,361,890)	(613, 142
RECONCILIATION OF CASH AND SHORT-TERM INVESTMENTS		
18. Net change in cash and short-term investments (Line 11 plus Line 15 plus Line 17)	(17,418,359)	9,048,291
19. Cash and short-term investments:		
19.1 Beginning of period		
19.2 End of period (Line 18 plus Line 19.1)	19,545,511	36,963,870

### **EXHIBIT OF PREMIUMS. ENROLLMENT AND UTILIZATION**

	1	Comprel (Hospital &	Medical)	4	5	6	7	8	9	10	11	12	13
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Stop Loss	Disability Income	Long-Term Care	Other
Total Members at end of:													
1. Prior Year	206 , 584	0	153,348	0	0	0	0	19,498	33,738				0
2 First Quarter	230,635		176,029					20,221	34,385				
3 Second Quarter	0												
4. Third Quarter	0												
5. Current Year	0												
6 Current Year Member Months	683,831		521,862					59,821	102,148				
Total Member Ambulatory Encounters for Period:													
7. Physician	330,947		216,653					64 , 193	50 , 101				
8. Non-Physician	63,588		48,472					5,950	9,166				
9. Total	394,535	0	265,125	0	0	0	0	70,143	59,267	0	0	0	0
10. Hospital Patient Days Incurred	31,085		13,117					11,957	6,011				
11. Number of Inpatient Admissions	6,286		3,066					1,687	1,533				
12. Health Premiums Collected	134,905,439		84,397,667				1,710,490	29,015,077	14,127,604				5,654,601
13. Life Premiums Direct	0												
14. Property/Casualty Premiums Written	0												
15. Health Premiums Earned	153 , 537 , 678		102,382,840				2,375,850	28,996,783	14 , 127 , 604				5 , 654 , 601
16. Property/Casualty Premiums Earned	0												
17. Amount Paid for Provision of Health Care Services	140,309,001		92,764,837				1,795,465	27,074,562	14,471,718				4,202,419
18. Amount Incurred for Provision of Health Care Services	133,514,572		85,164,766				1,867,793	28,029,320	14,066,521				4,386,172

# **CLAIMS PAYABLE (Reported and Unreported)**

	Aging Analysis of Unpaid	l Claims	Aging Analysis of Unpaid Claims						
1	2	3	4	5	6	7			
Account	1 - 30 Days	31 - 60 Days	61 - 90 Days	91 - 120 Days	Over 120 Days	Total			
Claims Payable (Reported)									
						•			
		<u> </u>							
0199999 Individually Listed Claims Payable	0	0	0	0	0	0			
0299999 Aggregate Accounts Not Individually Listed-Uncovered						0			
0399999 Aggregate Accounts Not Individually Listed-Covered						0			
0499999 Subtotals	0	0	0	0	0	0			
0599999 Unreported Claims and Other Claim Reserves	XXX	XXX	XXX	XXX	XXX	72,022,418			
0699999 Total Amounts Withheld	XXX	XXX	XXX	XXX	XXX	25,616,298			
0799999 Total Claims Payable	XXX	XXX	XXX	XXX	XXX	97,638,716			
0899999 Accrued Medical Incentive Pool	XXX	XXX	XXX	XXX	XXX	2,719,110			

# **UNDERWRITING AND INVESTMENT EXHIBIT**

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE								
		ims _	Liab					
	Paid Yea		End of Current Quarter		5	6		
Line of Business	On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year		
Comprehensive (hospital & medical)	35,634,170	44,605,657	31,363,902	63,968,814	66,998,072	49,829,065		
Medicare Supplement					0	0		
3. Dental Only					0	0		
4. Vision Only					0	0		
5. Federal Employees Health Benefits Plan Premiums	953,307	1,056,841	758,000	1,548,000	1,711,307	1,204,000		
6. Title XVIII - Medicare	12,826,667	12,646,262			12,826,667	16,471,309		
7. Title XIX - Medicaid		5,132,580			8,652,749	14,920,900		
8. Other Health					0	0		
9. Health Subtotal (Lines 1 to 8)	58,066,893	63,441,340	32,121,902	65 , 516 , 814	90 , 188 , 795	82,425,274		
10. Other non-health	-				0			
11. Medical incentive pools, accruals and disbursements	(1,967,746)	(869,590)	(4,257)	2,723,367	(1,972,003)	3,088,875		
12. Totals	56,099,147	62,571,750	32,117,645	68,240,181	88,216,792	85,514,149		

# **NOTES TO FINANCIAL STATEMENTS**

### 17c. Wash Sales

The plan did not engage in wash sales.

### **GENERAL INTERROGATORIES**

(Responses to these interrogatories should be based on changes that have occurred since the prior year end unless otherwise noted.)

# PART 1 - COMMON INTERROGATORIES GENERAL

1.1		mplement any significant		•			Yes	[]	No [X]
1.2	If yes, explain:								
2.1	Did the reporting entity of	experience any material tr the Model Act?	ransactions requiring the	e filing of Disclosure of N	Material Transactions w	ith the State of	Yes	[X]	No [ ]
2.2	If yes, has the report be	en filed with the domicilia	ry state?				Yes	[X]	No [ ]
3.1	, ,	nade during the year of th			•		Yes	[]	No [X]
3.2	If yes, date of change:								
	If not previously filed, fu	rnish herewith a certified	copy of the instrument a	is amended.					
4.	Have there been any su	bstantial changes in the o	organizational chart sinc	e the prior quarter end?			Yes	[]	No [X]
	If yes, attach an explana	ation.							
5.1	Has the reporting entity	been a party to a merger	or consolidation during	the period covered by the	nis statement?		Yes	[]	No [X]
5.2		of entity, NAIC Company ult of the merger or consc		nicile (use two letter sta	te abbreviation) for any	entity that has			
			1 Name of Entity	N	2 AIC Company Code	3 State of Domicile			
	<u> </u>								
	-								
	L								
6.		subject to a management nt, have there been any s ation.					Yes [ ] No	[X]	NA [ ]
7.1	State as of what date th	e latest financial examina	tion of the reporting enti	ity was made or is being	made			06/3	30/1998
7.2	State the as of date that	the latest financial exam of the examined balance	ination report became a	vailable from either the	state of domicile or the	reporting entity. This		06/3	30/1998
7.3	State as of what date the reporting entity. This	e latest financial examina s is the release date or co	tion report became avai mpletion date of the exa	ilable to other states or a amination report and not	the public from either the the the	ne state of domicile or ation (balance sheet		06/3	30/1998
7.4	By what department or o								
	Illinois Department o	f Insurance							
8.1	or revoked by any gover	had any Certificates of A rnmental entity during the part of the agreement.)	reporting period? (You	need not report an acti	on, either formal or info	rmal, if a	Yes	[]	No [X]
8.2	If yes, give full information	on:							
9.1	Is the company a subsic	liary of a bank holding co	mpany regulated by the	Federal Reserve Board	?		Yes	[]	No [X]
9.2	If response to 9.1 is yes	, please identify the name	e of the bank holding co	mpany.					
9.3	Is the company affiliated	d with one or more banks,	thrifts or securities firm	s?			Yes	[]	No [X]
9.4	If response to 9.3 is yes federal regulatory service	, please provide below the es agency [i.e. the Feder ), the Federal Deposit Ins	e names and location (c al Reserve Board (FRB)	city and state of the main the Office of the Com	n office) of any affiliates otroller of the Currency	regulated by a (OCC), the Office of			
	1	2 Location	3	4	5	6		7	
	Affiliate Name	Location (City, State)	FRB	OCC	OTS	FDIC		EC	

### **GENERAL INTERROGATORIES**

(Responses to these interrogatories should be based on changes that have occurred since the prior year end unless otherwise noted.) **INVESTMENT** 

10.1	Has there been any ch	nange in the reporting entit	y's own preferred or comm	on stock?			Yes [ ] No [X]
10.2	If yes, explain:						
	for use by another per	son? (Exclude securities umplete information relating		eements.)			Yes [ ] No [X]
12.	Amount of real estate		er invested assets in Scheo			\$	0
13.	Amount of real estate	and mortgages held in sho	ort-term investments:			\$	0
14.1	Does the reporting er	ntity have any investments	in parent, subsidiaries and	affiliates?			Yes [ ] No [X
14.2	If yes, please comple						
14.2	1 Ronds			¢	1 Prior Year-End Statement Value	2 Current Quarter Statement Value \$	
14.2	2 Preferred Stock			\$		\$	
14.2	4 Short-term Investme	ents		\$		\$	
14.20 14.2	6 All Other		Affiliates (Subtotal Lines 14.	\$			
14.28	to 14.26)		4.21 to 14.26 above	\$	0	\$0 \$	
14.29			14.21 to 14.26 above	•			
			transactions reported on S				Yes [ ] No [X]
15.2	If yes, has a comprehe	ensive description of the h	edging program been made	e available to the	domiciliary state?		Yes [ ] No [ ]
	If no, attach a descript	tion with this statement.					
16.	deposit boxes, were all qualified bank or trust	Il stocks, bonds and other company in accordance w	gage loans and investment securities, owned througho ith Part 1 - General, Section	ut the current ye n IV.H - Custodia	ar held pursuant to a cu al or Safekeeping Agree	stodial agreement with a ments of the NAIC	Yes [ ] No [X]
16.1	For all agreements that	at comply with the requiren	nents of the NAIC Financial	Condition Exam	iners Handbook, compl	ete the following:	
		Name o	1 of Custodian(s)		2 Custodian Add	dress	
				l .			
16.2	For all agreements that location and a comple		equirements of the NAIC Fi	nancial Condition	n Examiners Handbook,	provide the name,	
		1 Name(s)		2 tion(s)		3 Explanation(s)	
		Median Paul	Distantium DA		and amended to incl regarding notificat state commissioner, internal controls,	ion of termination to reporting on and language about	
		MCTION DANK	Pittsburgh, PA.		auequateii.surance	coverage	
16.3	Have there been any o	changes, including name c	hanges in the custodian(s)	identified in 16.1	during the current quar	ter?	Yes [ ] No [X]
16.4	If yes, give full and cor	mplete information relating	thereto:				
		1 Old Custodian	2 New Custodian	3 Date of Ch	nange	4 Reason	
16.5	Identify all investment	advisors, brokers/dealers	or individuals acting on beh	nalf of broker/dea	lers that have access to	o the investment	
. 5.5			make investments on beh			3	
		Central Registration		Name(s)		Address	
		1					

# **SCHEDULE A - VERIFICATION**

	NONE	1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Increase (decrease) by adjustment		
	Cost of acquired		
4.	Cost of additions to and permanent improvements		
5.	Total profit (loss) on sales		
6.	Increase (decrease) by foreign exchange adjustment		
7.	Amount received on sales		
8.	Book/adjusted carrying value at end of current period		
	Total valuation allowance		
10.	Subtotal (Lines 8 plus 9)		
11.	Total nonadmitted amounts		
12.	Statement value, current period (Page 2, real estate lines, current period)		

### **SCHEDULE B – VERIFICATION**

		•	
		1	2 Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Amount loaned during period:		
	2.1. Actual cost at time of acquisitions		
	2.2. Additional investment made after acquisitions		
3.	Accrual of discount and mortgage interest points and commitment fees		
4.	Increase (decrease) by adjustment		
5.	Total profit (loss) on sale		
6.	Amounts paid on account or in full during the period		
7.	Amortization of premium		
8.	Increase (decrease) by foreign exchange adjustment		
9.	Book value/recorded investment excluding accrued interest on mortgages owned at end of current period		
10.	Total valuation allowance		
11.	Subtotal (Lines 9 plus 10)		
12.	Total nonadmitted amounts		
13.	Statement value of mortgages owned at end of current period		

# **SCHEDULE BA – VERIFICATION**

Other Invested Assets Included in Schedule BA										
	1	2								
		Prior Year Ended								
	Year to Date	December 31								
1. Book/adjusted carrying value, December 31 of prior year										
2. Cost of acquisitions during period:										
2.1. Actual cost at time of acquisitions										
Additional investment made after acquisitions										
Accrual of discount										
4. Increase (decrease) by adjustment										
5. Total profit (loss) on sale										
6. Amounts paid on account or in full during the period										
7. Amortization of premium										
Increase (decrease) by foreign exchange adjustment.     Book/adjusted carrying value of long-term invested assets at end of current period										
Book/adjusted carrying value of long-term invested assets at end of current period										
10. Total valuation allowance										
11. Subtotal (Lines 9 plus 10)										
12. Total nonadmitted amounts										
13. Statement value of long-term invested assets at end of current period										

# **SCHEDULE D - VERIFICATION**

	1	2
		Prior Year Ended
	Year to Date	December 31
Book/adjusted carrying value of bonds and stocks, December 31 of prior year	128,811,095	146,679,608
Cost of bonds and stocks acquired	24,500,667	30,842,623
3. Accrual of discount	86,829	431,569
4. Increase (decrease) by adjustment		(1,102,627)
5. Increase (decrease) by foreign exchange adjustment		0
6. Total profit (loss) on disposal		1,109,916
7. Consideration for bonds and stocks disposed of		47,914,729
8 Amortization of premium	80 724	1,232,093
Book/adjusted carrying value, current period	137, 109, 419	128,811,095
10. Total valuation allowance		0
11. Subtotal (Lines 9 plus 10)		128,811,095
12. Total nonadmitted amounts		0
13. Statement value (Lines 11 minus 12)	137, 109, 419	128,811,095

# **SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity

		During the Current	Quarter for all Bonds and I	Preferred Stock by Rating C	lass			
	1 Book/Adjusted	2	3	4 Non-Trading	5 Book/Adjusted	6 Book/Adjusted	7 Book/Adjusted	8 Book/Adjusted
	Carrying Value Beginning of	Acquisitions During	Dispositions During	Activity During	Carrying Value End of	Carrying Value End of	Carrying Value End of	Carrying Value December 31
	Current Quarter	Current Quarter	Current Quarter	Current Quarter	First Quarter	Second Quarter	Third Quarter	Prior Year
BONDS								
1. Class 1	157,573,024	39 , 195 , 936	48 ,715 ,004	(742,945)	147 , 311 , 011	0	0	157 , 573 , 02
2. Class 2	5,081,551	1,073,490	991,552	746,930	5,910,418	0	0	5,081,55
3. Class 3	985,584	0	0	(50,784)	934,800	0	0	985,58
4. Class 4	0	0	0	0	0	0	0	
5. Class 5	0	0	0	0	0	0	0	
6. Class 6	0	0	0	0	0	0	0	
7. Total Bonds	163,640,159	40,269,426	49,706,556	(46,799)	154,156,229	0	0	163,640,15
PREFERRED STOCK								
8. Class 1	0	0	0	0	0	0	0	
9. Class 2	0	0	0	0	0	0	0	
10. Class 3	0	0	0	0	0	0	0	
11. Class 4	0	0	0	0	0	0	0	
12. Class 5	0	0	0	0	0	0	0	
13. Class 6	0	0	0	0	0	0	0	
14. Total Preferred Stock	0	0	0	0	0	0	0	
15. Total Bonds and Preferred Stock	163,640,159	40,269,426	49,706,556	(46,799)	154,156,229	0	0	163,640,159

# **SCHEDULE DA - PART 1**

Short-Term Investments Owned End of Current Quarter

	1	2	3	4	5							
				Amount of Interest	Paid for							
	Book/Adjusted			Received Current	Accrued							
	Carrying Value	Par Value	Actual Cost	Quarter	Interest							
8099999 Totals	19,545,511	XXX	19,545,511	28,685								

# **SCHEDULE DA - PART 2- Verification**

Short-Term Investments Owned

	1	2 Prior Year Ended
	Year To Date	December 31
Book/adjusted carrying value, December 31 of prior year		27 ,915 ,579
Cost of short-term investments acquired		82,538,417
Increase (decrease) by adjustment		
Increase (decrease) by foreign exchange adjustment		0
Total profit (loss) on disposal of short-term investments		
Consideration received on disposal of short-term investments		72,976,913
7. Book/adjusted carrying value, current period		37 ,477 ,083
8. Total valuation allowance		0
9. Subtotal (Lines 7 plus 8)		37 ,477 ,083
10. Total nonadmitted amounts		513,213
11. Statement value (Lines 9 minus 10)		36,963,870
12. Income collected during period		259 , 191
13. Income earned during period	83,118	259,191

Schedule DB - Part F - Section 1

NONE

Schedule DB - Part F - Section 2

**NONE** 

Schedule S

NONE

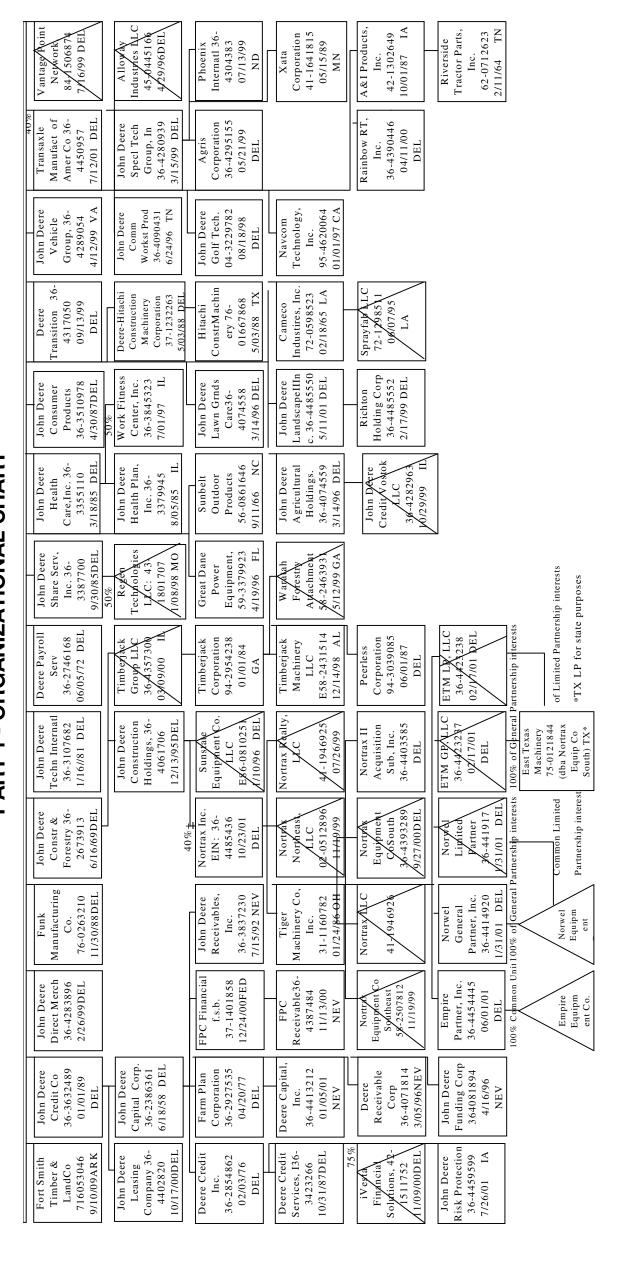
# SCHEDULE T PREMIUMS AND OTHER CONSIDERATIONS

			1	Allocated by	States and Ter		te			
				_	3	4	5	Only Year-to-Dat	7 Life and	8
	States, Etc.		Guaranty Fund (Yes or No)	Is Insurer Licensed? (Yes or No)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefit Program Premiums	Annuity Premiums and	Property/ Casualty Premiums
1.	Alabama	AL		No						
2.	Alaska			No						
3.	Arizona		,	No						
	Arkansas			No						
	California			No						
	Connecticut			No						
	Connecticut Delaware			NoNo						
	District of Columbia			No						
	Florida			No						
	Georgia			No						
	Hawaii			No						
13.	Idaho	ID		No						
14.	Illinois	IL	Yes	Yes	20 , 885 , 002	3,490				
15.	Indiana	IN		No						
	lowa		No	Yes	47 ,842 ,257	562 , 129	14,127,604	2,375,850		
	Kansas			No				<u> </u>		
	Kentucky			No					l	
	Louisiana			No No				<b></b>		
	Maine			No No						
	Massachusetts			NoNo						
	Michigan			NoNo						
	Minnesota			No						
	Mississippi		***************************************	No						
	Missouri			No						
	Montana			No						
	Nebraska			No						
29.	Nevada	NV		No						
30.	New Hampshire	NH		No						
31.	New Jersey	NJ		No						
32.	New Mexico	NM		No						
	New York			No						
	North Carolina			No						
	North Dakota			No No						
	OhioOklahoma			No						
	Oregon			NoNo						
	Pennsylvania			No						
	Rhode Island			No						
	South Carolina		No	No						
	South Dakota			No						
43.	Tennessee	TN	No	Yes	33,549,978	23,904,288				
	Texas		,	No				<u> </u>		
	Utah			No						
	Vermont			No	5 700 000	. =				
	Virginia		No	Yes		4,526,876				
	Washington			No No						
	West Virginia			No No						
	Wyoming									
	American Samoa			INO						
	Guam									
	Puerto Rico									
	U.S. Virgin Islands							<b>.</b>		
	Canada							<u> </u>		
57.	Aggregate Other Alien	OT	XXX	XXX	0	0	0	0	0	
58.	Total (Direct Business)		XXX	(a) 4	108,037,440	28,996,783	14,127,604	2,375,850	0	(
	DETAILS OF WRITE-INS									
								<u> </u>		
702.										
703.	0				Λ	^	Λ			
	Summary of remaining write-ins for L			e		0	0		0	
799.	Totals (Lines 5701 thru 5703 plus 57		7 above) a and Other A		0	0	0	0	0	(

<sup>(</sup>a) Insert the number of yes responses except for Canada and Other Alien.

STATEMENT AS OF MARCH 31, 2003 OF THE John Deere Health Plan, Inc.

# SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHARI



# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing on "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory question.

	RESPONSE
Will the SVO Compliance Certification be filed with this statement?	Yes
Explanation:	
Bar Code:	

# **OVERFLOW PAGE FOR WRITE-INS**

Schedule A - Part 2

**NONE** 

Schedule A - Part 3

**NONE** 

Schedule B - Part 1

NONE

Schedule B - Part 2

**NONE** 

Schedule BA - Part 1

**NONE** 

Schedule BA - Part 2

**NONE** 

# **SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acqu	ired by the Company [	During the Current Quarter

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter											
1	2	3	4	5	6	7	8	9			
CUSIP				Number of	Actual		Paid for Accrued	NAIC			
Identification	Description	Date Acquired	Name of Vendor	Shares of Stock	Cost	Par Value	Interest and Dividends	Designation (a)			
BONDS	•	•					-	Ŭ			
US Governments											
United States											
	Freddie Mac 4.375% 02/04/10										
3128X0-B4-0	US\$ Cost = 1,000,000	01/30/2003	Salomon Smith Barney (Bonds)		1,000,000	1,000,000		11			
040000 74 7	Freddie Mac 3.750% 12/26/07	04/07/0000	NDO O CLUM I O		4 000 000	4 000 000	0.000	,			
3128X0-TA-7	US\$ Cost = 1,000,000	01/27/2003	NBC Capital Markets Group		1,000,000	1,000,000	3,333	11			
3128X0-UR-8.	US\$ Cost = 996,875	01/28/2003	NBC Capital Markets Group			1,000,000	2 , 139	1			
3120AU-UN-0	U.S. Treasury 3.000% 11/15/07		NDC Capital markets Gloup		990,073	,000,000	2,139				
912828-AN-0	US\$ Cost = 2.984.766.	01/16/2003	Salomon Smith Barney (Bonds)		2,984,766	3,000,000	15,663	1			
	United States	017 107 2000	Carollon Gill th Barriey (Bondo)		5,981,641	6,000,000	21,135	XXX			
	- Bonds - U.S. Government				5,981,641	6,000,000	21,135	XXX			
Special Revenue & As				I	0,001,011	0,000,000	21,100	AAA			
United States	30000mort										
om tod otdtoo											
	FHLMC 2430-VL 6.000% 01/15/18										
31339N-WC-6	US\$ Cost = 1,057,813	03/13/2003	Craigie (Bonds)		1,057,813	1,000,000	2,833	1PE			
	FNMA 2003-10 PL 4.000% 05/25/26										
31392H-KK-8	US\$ Cost = 990,000	01/29/2003	Legg Mason		990,000	1,000,000		1PE			
0.400011.05.0	FNMA 2003-8 0Y 4.500% 12/25/25	0.4.100.10000			4 005 000	4 000 000	0.005	485			
31392H-S5-3	US\$ Cost = 1,005,000	01/29/2003	Legg Mason		1,005,000	1,000,000		1PE			
31392J-LK-3	FNMA 2003-15 CP 4.500% 12/25/26 US\$ Cost = 1,006,250	02/28/2003	William Blair (Bonds)		1,006,250	1,000,000	3.375	1PE			
3139ZJ-LN-3	FNMA 2003-21 PD 5.000% 11/25/16	02/20/2003	MITITAL DIATE (DUTUS)		1,000,230	1,000,000	,3,373	IFE			
31392J-U4-9	US\$ Cost = 1,023,125	02/28/2003	NBC Capital Markets Group		1,023,125	1,000,000		1PE			
	FHLMC 2562 PE 5.000% 10/15/16		nbo capital markets oroup		,023,123	,000,000	5,750				
31393H-LM-2	US\$ Cost = 1,031,563.	01/29/2003	NBC Capital Markets Group.		1,031,563	1,000,000	4,028	1PE			
	FHLMC 2572 LF 5.500% 07/15/27				, , , , , , , , , , , , , , , , ,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, , , , , ,				
31393K - G6 - 6	US\$ Cost = 1,054,375	03/14/2003	NBC Capital Markets Group		1,054,375	1,000,000	2,750	1PE			
	FHLMC 2564 OR 4.500% 02/15/26										
31393L -MY -6	US\$ Cost = 1,013,281	02/28/2003	Legg Mason		1,013,281	1,000,000	3,375	1PE			
	United States				8,181,406	8,000,000	26,958	XXX			
	- Bonds - Special Revenue				8,181,406	8,000,000	26,958	XXX			
Public Utilities (un	naffiliated)										
United States		•									
	Exelon Corporation 4.750% 10/01/12										
693304-AD-9	PECO Energy 1st Mortgage US\$ Cost = 1.014.010	02/04/2003	Logo Moses		1,014,010	1,000,000	17,681	1PE			
	United States	02/04/2003	Legg Mason,		1.014.010	1,000,000	17,681	XXX			
	- Bonds - Public Utilities				1,014,010	1,000,000	17,681	XXX			
Industrial & Miscell					1,014,010	1,000,000	17,001	۸۸۸			
United States	raileous										
Ullited States	Anheuser-Busch 4.625% 02/01/15				T.		I				
	Companies Inc.										
035229-CR-2	US\$ Cost = 999.790.	02/04/2003	Legg Mason.			1.000.000	1,285	1PE			
	Branch Banking & Trust 4.875% 01/15/13		20gg			,000,000	,				
10513Q-BD-2	US\$ Cost = 1,010,100	02/05/2003	Legg Mason		1,010,100	1,000,000		1PE			
	Dupont EI De Nemours 4.750% 11/15/12						·				
	Co										
263534-BK-4	US\$ Cost = 1,022,690	02/04/2003	Legg Mason		1,022,690	1,000,000	11,215	11			
240000 15 4	First Data Corp. 5.625% 11/01/11	00/04/0000	NDC Comitted Mandrata Consum		4 000 000	4 000 000	45.000	405			
319963-AF-1	US\$ Cost = 1,090,600	02/04/2003	NBC Capital Markets Group	ļ	1,090,600	1,000,000	15,000	1PE			
36962G-ZY-3	General Elec Cap Corp 5.450% 01/15/13 US\$ Cost = 1.035.700.	02/06/2003	NBC Capital Markets Group		1,035,700	1,000,000	9.840	1PE			
309026-21-3	Gent Motors Accept . 7.500% 07/15/05	02/00/2003	INDO CAPITAT MATRETS GLOUP		1,035,700	,000,000	9,840	IPE			
	Corp.										
370425-RS-1	US\$ Cost = 1,073,490	.02/28/2003	NBC Capital Markets Group		1,073,490	1,000,000	10,417	2			
	Parker-Hannifin Corp. 4.875% 02/15/13				, , , , , , , , , , , , , , , , ,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
701094-AG-9	US\$ Cost = 1,005,660.	02/06/2003	NBC Capital Markets Group.		1,005,660	1,000,000	135	1PE			
-											

# **SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1   2   3   3   4   5   6   7   8   9   National Part   1   1   1   1   1   1   1   1   1	Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter											
	1	2	3	4	5	6	7	8	9			
	CUSIP				Number of	Actual		Paid for Accrued	NAIC			
September   Sept		Description	Date Acquired	Name of Vendor			Par Value					
Section   Sect			Date Acquired	realite of verticol	Griares of Glock	0031	i di Value	interest and bividends	Designation			
Verizon Global Funding 4,0005 001/15/08   2003 (2014 2005 001/15/08	803333 VH U	119¢ Coct = 1 002 440	02/03/2003	NRC Capital Markets Group		1 002 440	1 000 000	7 702	1DE			
Signature   Sign	092332-AIT-U	Varizon Clohal Eunding 4 000% 01/15/09	02/03/2003	NDC Capital markets Group		1,092,440	,000,000		Ir L			
Total United States   9,325,610   9,000,000   62,049   XXX   6959997 - Total + Bords - Industrial, Misc.   9,325,610   9,000,000   62,049   XXX   6959997 - Total + Bords - Part 3   24,500,687   24,000,000   127,823   XXX   6959999 - Total + Bords - Part 5   24,000,000   127,823   XXX   6959999 - Total - Parter Stocks   9,000,000   27,823   XXX   6959999 - Total - Parter Stocks   9,000,000   27,823   XXX   6959999 - Total - Parter Stocks   9,000,000   27,823   XXX   6959999 - Total - Parter Stocks   9,000,000   27,823   XXX   20,000   27,823   XXX   20,000   XXX   20,0		Corp.										
Total United States   9,325,610   9,000,000   62,049   XXX   6959997 - Total + Bords - Industrial, Misc.   9,325,610   9,000,000   62,049   XXX   6959997 - Total + Bords - Part 3   24,500,687   24,000,000   127,823   XXX   6959999 - Total + Bords - Part 5   24,000,000   127,823   XXX   6959999 - Total - Parter Stocks   9,000,000   27,823   XXX   6959999 - Total - Parter Stocks   9,000,000   27,823   XXX   6959999 - Total - Parter Stocks   9,000,000   27,823   XXX   6959999 - Total - Parter Stocks   9,000,000   27,823   XXX   20,000   27,823   XXX   20,000   XXX   20,0	022440 411 0	100 p.	04/46/2002	Calaman Cmith Parnay (Panda)		002 140	1 000 000		1DE			
459999 - Total - Bonds - Industrial, Wisc.   9,23,610   9,000,000   62,049   XXX   609999 - Total - Bonds - Bonds   72,800,667   24,000,000   127,823   XXX   609999 - Total - Bonds - Bonds   72,800,667   24,000,000   127,823   XXX   709999 - Total - Preferred stocks   0			01/10/2003	Satuliut Siirti Barrey (Burus)				62.040				
6699999 - Total - Bonds - Part 3												
24,500,687   24,000,000   127,823   XXX   0   XXX   0   XXX   7099999 - Total - Preferred Stocks   0   XXX   0   XXX												
6599999 - Total - Common Stocks 7099999 - Total - Common Stocks 0												
7099999 - Total - Preferred and Common Stocks						24,500,667		127,823				
719999 - Total - Preferred and Common Stocks  0 XXX 0 XXX  - XXX						0		0	XXX			
						0	XXX	0	XXX			
729999 - Totals 24 500.667 XX 127.823 XX	7199999 - Total	- Preferred and Common Stocks			_	0	XXX	0	XXX			
729999 - Totals 24.500.667 XXX 127.823 XXX												
729999-Totals 24.500.667 XXX 127.823 XXX												
729999-Totals 24.500.667 XXX 127.823 XXX												
729999 - Totals 24 500.667 XXX 127.823 XXX												
729999 - Totals 24 500,667 XXX 127.823 XXX												
729999 - Totals												
729999-Totals 24.500.667 XXX 127.823 XXX												
729999 - Totals 24.500.667 XXX 127.823 XXX												
729999 - Totals 24.500.667 XXX 127.823 XXX												
729999 - Totals 24,500.667 XXX 127,823 XXX												
7299999 - Totals 24.500.667 XXX 127.823 XXX												
729999 - Totals 24,500,667 XXX 127,823 XXX												
729999 - Totals 24.500.667 XXX 127.823 XXX					ļ							
729999 - Totals 24.500.667 XXX 127.823 XXX					<b> </b>				<b></b>			
729999 - Totals 24,500,667 XXX 127,823 XXX					ļ				<b></b>			
729999 - Totals 24,500,667 XXX 127,823 XXX												
729999 - Totals 24.500.667 XXX 127.823 XXX												
729999 - Totals 24.500.667 XXX 127.823 XXX					ļ							
729999 - Totals 24.500.667 XXX 127.823 XXX					<b> </b>				<b></b>			
729999 - Totals 24.500.667 XXX 127.823 XXX					<b></b>							
7299999 - Totals 24.500.667 XXX 127.823 XXX												
7299999 - Totals 24.500.667 XXX 127.823 XXX												
	7299999 - Totals	<u> </u>			_	24,500,667	XXX	127,823	XXX			

(a) For all common stock bearing the NAIC designation "U" provide: the number of such issues

# **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter																
1	2	3	4	5	6	7	8	9	10	. 11	12	13	14	15	16	17
									Increase	Increase (Decrease)	Foreign			Interest on Bonds	Dividends on Stocks	NAIC
CUSIP				Number of				Book/Adjusted	(Decrease)	by Foreign		Realized Gain	Total Gain	Received	Received	Desig-
ldenti-		Disposal		Shares of				Carrying Value at	by	Exchange	Gain (Loss)	(Loss) on	(Loss) on	During	During	nation
fication	Description	Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Disposal Date	Adjustment	Adjustment	on Disposal	Disposal	Disposal	Year	Year	(a)
BONDS US Governments																
United States	•															
000471 511 4	GNMA Pool #0196468 10.000% 11/15/04	00/04/0000			40.070	40.070	40.700	40.000	(00)					404		
36217L-FH-4	US\$ Cost = 10,708 GNMA Pool #0231032 9.000% 07/15/17	03/01/2003	Paydown		10,370	10,370	10,708	10,392	(22)				0	181		11
36218S-SV-3	US\$ Cost = 135	03/01/2003	Paydown		136	136	135	135	1				0	2	ļ <sup>,</sup>	1
36220E-5X-1	GNMA Pool #0276362 9.500% 09/15/04 US\$ Cost = 1,090	02/04/2002	Doudown		1,063	1,063	1,090	1 067	(4)				0	17	1	1
30220E-3A-1	GNMA Pool #0283327 9.000% 12/15/19	03/01/2003	Paydown		1,003	1,003	1,090	1,067	(4)				0		[	
36220N-VU-8	US\$ Cost = 1,266	03/01/2003	Paydown		1,265	1,265	1,266	1,265					0	12	ļ <sup> </sup>	1
36220Y-KL-6	GNMA Pool #0291999 9.000% 10/15/05 US\$ Cost = 1.292	03/01/2003	Paydown		1,288	1,288	1,292	1,286	2				0	19	1	1
	U S Treasury Note 5.500% 01/31/03						·									
912827 - 3V - 2	US\$ Cost = 1,503,516 U S Treasury Note 6.250% 02/15/03	01/31/2003	Maturity		1,500,000	1,500,000	1,503,516	1,499,920	80				0	41,250		1
912827 - J7 -8	US\$ Cost = 2,391,969	02/15/2003	Maturity		2,350,000	2,350,000	2,391,969	2,350,295	(295)				0	73,438	l	1
	ital United States		,		3,864,123	3,864,123	3,909,975	3,864,361	(238)	0	0	0	0	114,919	XXX	XXX
	ends - U.S. Governments				3,864,123	3,864,123	3,909,975	3,864,361	(238)	0	0	0	0	114,919	XXX	XXX
United States	ue & Assessment															
312007 M6 2	FHLMC 1171- K 8.000% 11/15/06 US\$ Cost = 1,978	03/06/2003	Call 100.0000		2,000	2,000	1,978	1,988				13	13	33	1	1PE
312307 - 1110 - 2	FHLMC 1369- H 6.500% 09/15/07	03/00/2003	100.0000		2,000	2,000	1,370	1,900					10			IF L
312911-T8-3	US\$ Cost = 146,283	03/01/2003	Paydown		151,589	151,589	146,283	149,966	1,623				0	1,617	اا	1PE
312912-KD-9	FHLMC 1385-H 6.500% 08/15/07 US\$ Cost = 128,096	03/01/2003	Paydown.		130,383	130,383	128,096	129,244	1,139				0	1,422	1	1PE
	FHLMC 1393-EB 6.500% 12/15/06		'													
312912-SJ-8	US\$ Cost = 631,660 FHLMC 1449 H  7.000% 12/15/07	03/01/2003	Paydown		643,935	643,935	631,660	639,244	4,691				0	7,205	t	1PE
312913-40-6	US\$ Cost = 114,378	03/01/2003	Paydown		116,009	116,009	114,378	115,056	953				0	1,364		1PE
242044 MG 0	FHLMC 1477 G 7.000% 02/15/21 US\$ Cost = 218,296	02/04/2002	Douglawa		219,393	240, 202	218,296	218,615	778				0	2,528	1	1PE
312914-110-0	FHLMC 1490- PH 6.250% 04/15/08	03/01/2003	Paydown		219,393	219,393	210,290						0	2,520	[	IPE
312915-DN-8	US\$ Cost = 143,780	03/01/2003	Paydown		145,715	145,715	143,780	144,581	1 , 134				0	1,503	ا <sup>ا</sup>	1PE
3133T3-TB-4	FHLMC 1658 GB 7.000% 08/15/05 US\$ Cost = 120,263	03/01/2003	Paydown.		115,325	115,325	120,263	118,716	(3,391)				0	1,348	1	1PE
	FHLMC-GNMA 1687 J 6.500% 02/15/09		l ayuomi.				,	,	, , ,					,		
3133T4-BX-3	US\$ Cost = 276,725 FHLMC 1808-A 5.000% 10/15/07	03/01/2003	Paydown		284,002	284,002	276,725	280,599	3,404				0	3,114	t	1
3133T6-QQ-7	US\$ Cost = 82,018	03/01/2003	Paydown		87 , 405	87,405	82,018	83,686	3,720				0	729	ļ	1PE
0400T0 D7 0	FHLMC 2013 PA 5.500% 09/15/11	02/04/2002			044 545	044 545	200 400	040, 400	0.000				0	4 070	1	405
313316-02-8	US\$ Cost = 209,488 FHLMC 2280-VA 6.000% 06/15/07	03/01/2003	Paydown		214,515	214,515	209,488	212,483	2,032				0	1,876	<u>ا</u> ا	1PE
3133TR-5M-3	US\$ Cost = 25,549	03/01/2003	Paydown		25,091	25,091	25,549	25,439	(348)				0	251	ļ <sup>,</sup>	1PE
3133TR-RL-1	FHLMC 2295-PD 6.000% 12/15/25 US\$ Cost = 488,303	03/01/2003	Paydown.		488,456	488 , 456	488,303	487 , 893	563				0	7,327	1	1PE
	FNMA 1993-014- A 6.000% 02/25/08		i ayaomi.				·						0			
31358T -TB -5	US\$ Cost = 24,842	03/01/2003	Paydown		25,006	25,006	24,842	24,865	140			} <u> </u>	0	254	ł	1PE
31358U-FW-1	FNMA 1993-038- K 6.750% 08/25/21 US\$ Cost = 71.518	01/01/2003	Paydown.		72,446	72,446	71,518	72,004	443				0	408	l'	1
	FNMA 1993-93 G 6.750% 05/25/08					, ,	,	,								45-
31359A-EG-0	US\$ Cost = 74,430 FNMA 1994-001- N 6.500% 07/25/13	03/01/2003	Paydown		74,048	74,048	74,430	74,144	(96)	}		} <b>-</b>	0	826	i	1PE
31359F-3Z-9	US\$ Cost = 52,492	03/01/2003	Paydown		52,304	52,304	52,492	52,218	86				0	567	ļ <sup>,</sup>	1PE
242507 55 2	FNMA 1996-53 K 6.500% 12/18/11		<u> </u>				F0 000						0		1 ,	,
31309K-5E-3	US\$ Cost = 59,832	02/01/2003	Paydown		60,399	60,399	59,832	59,938	460				0	327	<b></b>	L

### **SCHEDULE D - PART 4**

						EDULE										
	1	1	Show All Lo	ng-Term Bonds a	nd Stock Sold, Re		•									
1	2	3	4	5	6	7	8	9	10	. 11	12	13	14	15	16	17
									Inorooo	Increase	Faraian			Interest on Bonds	Dividends on Stocks	NAIC
CUSIP				Number of				Book/Adjusted	Increase (Decrease)	(Decrease) by Foreign	Foreign Exchange	Realized Gain	Total Gain	Received	Received	Desig-
Identi-		Disposal		Shares of				Carrying Value at	by	Exchange	Gain (Loss)	(Loss) on	(Loss) on	During	During	nation
fication	Description	Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Disposal Date	Adjustment	Adjustment	on Disposal	Disposal	Disposal	Year	Year	(a)
	FNMA 1996-64 PK 6.500% 05/18/11									.,						
31359N-AR-2	US\$ Cost = 266,856	03/01/2003	. Paydown		270 , 106	270 , 106	266 , 856	267,654	2,451			-	0	2,726		1PE
31359T-CD-8	FNMA 1998-17 TD 6.250% 11/18/23 US\$ Cost = 899,107	03/01/2003	. Paydown		883,948	883,948	899 , 107	894,914	(10,966)				0	9,210		1PE
313331-00-0	FHLMC 2518-A 5.000% 12/15/14	03/01/2003	. r aydowii			003,340		034,314	(10,900)			-	0			IF L
	US\$ Cost = 14,491	03/01/2003	Paydown		14,389	14,389	14,491	14,486	(97)				0	95		1PE
	otal United States				4,076,464	4,076,464	4,050,384	4,067,733	8,719	0	0	13	13	44,730	XXX	XXX
3199999 - Bonds - Special Revenues Industrial & Miscellaneous					4,076,464	4,076,464	4,050,384	4,067,733	8,719	0	0	13	13	44,730	XXX	XXX
United States	wiscerraneous															
onition orates	American General Corp 6.375%															$\overline{}$
	03/01/03									1	1					
02635K-BL-7	US\$ Cost = 441,077 Contl Bank N.A. 7.875% 02/01/03	03/01/2003	Maturity		450,000	450,000	441,077	449,691	309			-	0	14,344		
211135-QR-2	US\$ Cost = 629,025	02/01/2003	. Maturity		625,000	625,000	629,025	624,928	72				0	24,609		1
Z11100 QN Z	Cooper Industries Inc 5.880%	02/01/2000	matar rty		020,000	020,000	020,020	024,020						24,000		
	02/20/03															
24666W CD 4	MTN US\$ Cost = 492,365	02/20/2002	Maturity		500,000	500,000	492,365	499,668	332				0	12 002		1
21666W-CB-4	Donnelley (R.R.) & 7.000% 01/01/03	02/20/2003	. Maturity			500,000	492,300	499,000	332			-	0	13,802		
	Sons Co.															
257867 - AD - 3	US\$ Cost = 988,870	01/01/2003	. Maturity		1,000,000	1,000,000	988 , 870	1,000,000					0	35,000		
319455-BR-1	Bank One Corp 7.625% 01/15/03 US\$ Cost = 1,019,100	01/15/2003	. Maturity		1.000.000	1,000,000	1,019,100	1,000,177	(177)				0	38 , 125		1
	First Data Corn 6 625% 04/01/03	01/13/2003	. maturity		, ,000 ,000	, ,000 ,000	, , 0 19 , 100	, ,000 , 177	(1//)				0			
319963-AA-2	US\$ Cost = 978,940	02/04/2003	. NBC Capital Markets Group		1,007,500	1,000,000	978,940	997,628	865			9,007	9,007	23,188		
	General Elec Cap Corp 7.500%															
36962G-UX-0	06/05/03 US\$ Cost = 1,007,655	02/06/2003	. NBC Capital Markets Group		1,018,900	1,000,000	1,007,655	1,001,062	(456)			18,294	18,294	13,750		1
303020-0X-0	Genl Motors Accept. 6.850% 06/17/04	02/00/2005	. INDC Capital markets Group		,010,300	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,007,000	,001,002	(400)			10,234				
	Corp.															
370425-RJ-1	US\$ Cost = 974,580	02/28/2003	. NBC Capital Markets Group		1,045,790	1,000,000	974,580	990 , 575	978			54,238	54,238	14,842		
	Household Finance Corp 6.875% 03/01/03															
441812-EZ-3	US\$ Cost = 501,400	03/01/2003	. Maturity		500,000	500,000	501,400	499,904	96				0	17 , 188		
	Sears Roebuck Co MTN 6.650% 02/20/03	3							(===)							
	US\$ Cost = 1,025,430 otal United States	02/20/2003	. Maturity		1,000,000 8,147,190	1,000,000 8,075,000	1,025,430 8,058,441	1,000,729 8.064.361	(729) 1,291	Λ		81.539	0 81.539	25,676 220,523	XXX	1 XXX
	onds - Industrial and Miscellaneous				8,147,190	8,075,000	8,058,441	8,064,361	1,291	0	0	81,539	81,539	220,523	XXX	XXX
6099997 - Bonds - Part 4					16,087,776	16,015,586	16,018,800	15,996,454	9,772	0	0	81,551	81,551	380,172	XXX	XXX
	otal - Bonds				16,087,776	16,015,586	16,018,800	15,996,454	9,772	0	0	81,551	81,551	380,172	XXX	XXX
	otal - Preferred Stocks				0	XXX	0	0	0	0	0	0	0	XXX	0	XXX
709999 - Total - Common Stocks 719999 - Total - Preferred and Common Stocks					0	XXX XXX	0	0	0	0	0	0	0	XXX XXX	0	XXX
7 199999 - 10	T - Freierred and common stocks	1			U	۸۸۸	U	U	U	U	U	U	U	۸۸۸	0	۸۸۸
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		<u> </u>										A. 5-1				
7299999 To	otals				16.087.776	XXX	16.018.800	15.996.454	9.772	. 0	. 0	81.551	81.551	380.172	0	XXX

<sup>(</sup>a) For all common stock bearing the NAIC designation "U" provide: the number of such issues

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule E - Part 1